

r00ts 2003

Java Trends JDK 1.5

Angelika Langer

Trainer/Consultant

<http://www.AngelikaLanger.com>

JDK 1.5

- release announced for end of 2003
- several new features in the language and the libraries
 - JSR 014 - generics
 - JSR 166 - concurrency utilities
 - JSR 201 - autoboxing, enum, ...

agenda

- **generics**
- concurrency utilities
- enum types
- autoboxing

Java Generics

- motivation for adding generic types and methods to Java:
 - higher expressiveness and improved type safety
 - make type parameters explicit and make type casts implicit
 - crucial for using libraries such as collections in a flexible, yet safe way

Java generics vs. C++ templates

- Java generics are said to be something like C++ templates.
 - common misconception
- Java generics have nearly nothing in common with C++ templates.
 - C++ templates is a Turing complete language.
 - Java generics is syntactic sugar that elides some casting.

agenda - generics

- overview
 - language changes: parameterized types and methods
 - library changes: parameterized collections & extended reflection
 - related language changes: covariant return types
 - type variables
 - translation to bytecode

terminology

- *parameterized type or method*
 - class / interface or method that has type parameters
- *type variable*
 - placeholder for a type, i.e. the type parameter

```
class Seq<E> implements List<E> {
    static boolean isSeq(Object x) {
        return x instanceof Seq;
    }
    static <T> boolean isSeq(List<T> x) {
        return x instanceof Seq<T>;
    }
    static boolean isSeqArray(Object x) {
        return x instanceof Seq[];
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (7)

parameterized types

- instantiations of parameterized types look like C++ templates
- examples:

```
Vector<String>
Seq<Seq<A>>
Seq<String>.Zipper<Integer>
Collection<Integer>
Pair<String, String>
```
- primitive types cannot be parameters
 - Vector<int> is illegal

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (8)

benefit of parameterized types

- today: no information available about the type of the elements contained in a collection

cast might fail

```
void append(Vector v, char[] suffix) {  
    for(int idx=0; idx<v.size(); ++idx) {  
        StringBuffer buf = (StringBuffer) v.get(idx);  
        buf.append(suffix);  
    }  
}
```

- future: parameterized type provides more information and performs cast implicitly

cannot fail

```
void append(Vector<StringBuffer> v, char[] suffix) {  
    for(int idx=0; idx<v.size(); ++idx) {  
        StringBuffer buf = v.get(idx);  
        buf.append(suffix);  
    }  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (9)

type variables

- definition of a parameterized class
 - type variables T1 and T2 act as parameters

```
class Pair <Type1, Type2> {  
    private Type1 t1;  
    private Type2 t2;  
    ...  
}
```

- type variable can have optional *bounds*
 - a bound consists of a class and/or several interfaces
 - if no bound is provided Object is assumed

```
class AssociativeArray <Key extends Comparable, Value> {  
    ...  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (10)

shared type identification

- all instantiations of a parameterized type have the same runtime type
 - type parameters are not maintained at runtime and do not show up in the byte code

```
Vector<String> x = new Vector<String>();  
Vector<Integer> y = new Vector<Integer>();  
return x.getClass() == y.getClass();
```

true

raw types

- *raw type*: parameterized class without its parameters
 - variables of a raw type can be assigned from values of any of the type's parametric instances
 - reverse assignment permitted to enable interfacing with legacy code

```
Vector rawVector = new Vector();  
Vector<String> stringVector = new Vector<String>();  
rawVector = stringVector;  
stringVector = rawVector;
```

fine

compiler warning:
assignment deprecated

raw types

- access to fields of a raw type

```
class Cell<Type> {  
    private Type value;  
    public Cell (Type v) { value=v; }  
    public Type get() { return value; }  
    public void set(Type v) { value=v; }  
}
```

fine, value has type Object

compiler warning:
unchecked access to field

```
Cell rawCell = new Cell<String>("abc");  
... rawCell.value ...;  
... rawCell.get();  
rawCell.set("def"); // deprecated
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (13)

do we really benefit?

```
void append(Vector<StringBuffer> v, char[] suffix) {  
    for(int idx=0; idx<v.size(); ++idx) {  
        ▶ StringBuffer buf = v.get(idx);  
        buf.append(suffix);  
    }  
}
```

- raw type can be assigned to instantiated type
 - creates compiler warning, but is permitted

```
Vector files = new Vector();  
// fill with Strings, not StringBuffers !!!  
Vector<StringBuffer> tmp = files; ←  
append(tmp, ".txt");
```

implicit cast can fail

assignment of raw type permitted

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (14)

parameterized methods

- method declarations can have a type parameter section like classes have

```
static <Elem> void swap(Elem[] a, int i, int j) {  
    Elem temp = a[i]; a[i] = a[j]; a[j] = temp;  
}
```

```
<Elem extends Comparable<Elem>> void sort(Elem[] a) {  
    for (int i = 0; i < xs.length; i++)  
        for (int j = 0; j < i; j++)  
            if (a[j].compareTo(a[i]) < 0) <Elem>swap(a, i, j);  
}
```

- constructors can be parameterized, too

parameter inference

- no special syntax for invocation
 - type parameters are inferred from arguments and calling context

```
Integer[] ints;  
String[] strings;  
...  
swap(ints, 1, 3); // infers Elem := Integer  
sort(strings); // infers Elem := String
```

- explicit specification of type parameters is allowed

```
<Integer>swap(ints, 1, 3);  
<String>sort(ints);
```


agenda - generics

- overview
 - language changes: parameterized types and methods
 - library changes: parameterized collections & extended reflection
 - related language changes: covariant return types
 - type variables
 - translation to bytecode

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (17)

parameterized collections

- collections from collection framework are parameterized
- examples:

```
public interface Set<E> extends Collection<E> {
    public boolean add(E e);
    public boolean contains(Object e);
    public Iterator<E> iterator();
    public <T> T[] toArray(T[] a);
    ...
}
public class TreeSet<E> extends AbstractSet<E> ...
{
    public TreeSet(SortedSet<E> s);
    public TreeSet(Comparator<E> c);
    ...
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (18)

extended reflection

- additional information for parameterized types
- in class `Class`:

```
public Type getGenericSuperclass()
public Type[] getGenericInterfaces()
public ClassTypeVariable[] getTypeParameters()
```
- in class `Method` and class `Constructor`:

```
public Type getGenericReturnType()
public Type[] getGenericParameterTypes()
```
- in class `Field`:

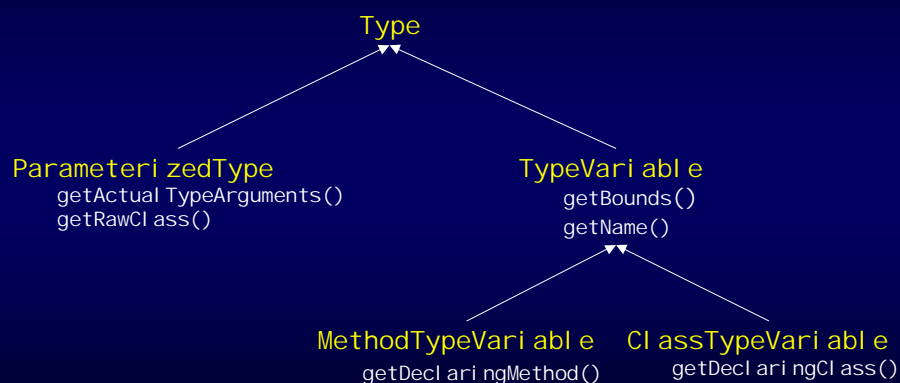
```
public Type getGenericType()
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (19)

extended reflection

- new hierarchy of interfaces



© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (20)

agenda - generics

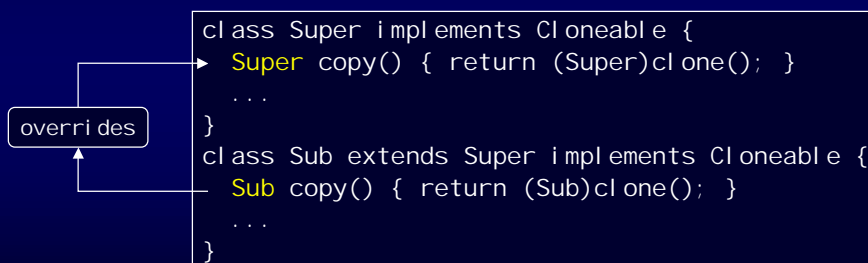
- overview
 - language changes: parameterized types and methods
 - library changes: parameterized collections & extended reflection
 - related language changes: covariant return types
 - type variables
 - translation to bytecode

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (21)

covariant return types

- overriding methods may have a result type that is a subtype of the result types of all methods it overrides
 - before generics, the result types had to be identical



© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

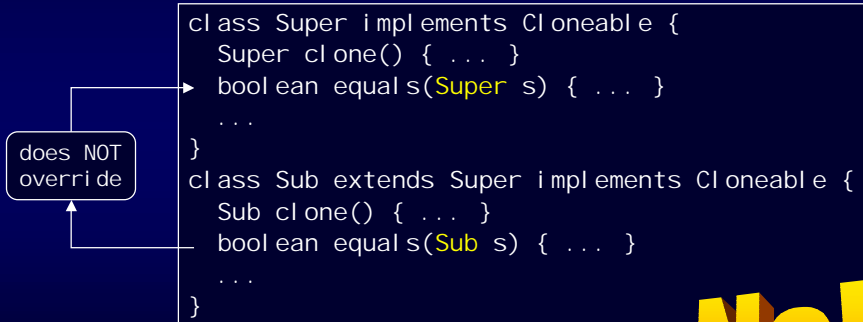
concurrency utilities (22)

no covariant argument types

- overriding methods must still have identical argument types

```
class Super implements Cloneable {
    Super clone() { ... }
    boolean equals(Super s) { ... }
    ...
}
class Sub extends Super implements Cloneable {
    Sub clone() { ... }
    boolean equals(Sub s) { ... }
    ...
}
```

does NOT override



NO!

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (23)

agenda - generics

- overview
 - language changes: parameterized types and methods
 - library changes: parameterized collections & extended reflection
 - related language changes: covariant return types
 - **type variables**
 - translation to bytecode

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (24)

several bounds

- a type parameter can have more than one bound

```
class X<T implements SuperClass & Interface1 & Interface2> {  
    ...  
}
```

- the erasures of all bounds must be pairwise different

```
class X<T extends Interface<A> & Interface<B>> {  
    ...  
}
```

error:
Interface cannot
be inherited
with different
arguments

- if no bound is given, Object is assumed

type variable vs. types

- type variables are not types
- type variables cannot be used
 - in static context
 - to create objects
 - for type checks via instanceof
 - as supertypes

type variables & static context

- scope of a type variable = all of the declared class
 - including the type parameter section itself
 - › i.e. type variables can appear as parts of their own bounds
 - › e.g. `<Elem extends Comparable<Elem>> void sort(Elem[] a)`
 - except any static members or initializers
 - › no use for static data members
 - › no use in static methods
 - › interesting effects in conjunction with nested types

```
class X<T> {  
    T t1; // fine  
    static T t2; // illegal  
}
```

```
class X<T> {  
    T getT1(){...} // fine  
    static T getT2(){...} // illegal  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (27)

type variables & new expressions

- type variable cannot be used to create objects
 - can only declare reference variables

```
class Tuple <Elem> {  
    private Elem p1, p2;  
  
    public Tuple() {  
        p1 = new Elem(); p2 = new Elem();  
    }  
  
    public Tuple(Elem a1, Elem a2) {  
        p1 = new Elem(a1); p2 = new Elem(a2);  
    }  
}
```

error: unexpected type
found: Elem
required: class

NO!

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (28)

handling reference

- parameterized classes can easily handle references
 - but value semantics are difficult

```
class Tuple <Elem> {
    private Elem p1, p2;

    public Tuple() {
        p1 = null; p2 = null;
    }
    public Tuple(Elem a1, Elem a2)
    {
        p1 = a1; p2 = a2;
    }
    public Elem getFirst() {
        return p1;
    }
    public void setFirst(Elem a1) {
        p1 = a1;
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (29)

type variables & instanceof

- type variable cannot be used in a type check via instanceof

```
public class Tuple<Elem> {
    private Elem p1, p2;

    public <T extends Tuple> Tuple(T other) {
        if (other.p1 instanceof Elem)
            this.p1 = (Elem) other.p1;
        else
            this.p1 = null;
        ... same for p2 ...
    }
}
```

error: unexpected type
found: Elem
required: class

NO!

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (30)

type variables & casting

- type variable can be used in a cast
 - might yield a warning

```
public class Tuple<Elem> {
    private Elem p1, p2;

    public <T extends Tuple> Tuple(T other) {
        try {
            this.p1 = (Elem) other.p1;
        }
        catch (ClassCastException e) {
            this.p1 = null;
        }
        ... same for p2 ...
    }
}
```

warning:
unchecked cast to type Elem

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (31)

type variables & casting

- cast is not guaranteed to fail at runtime
 - even if nonsensical

```
public <T extends Tuple> Tuple(T other) {
    try { this.p1 = (Elem) other.p1; }
    catch (ClassCastException e) { this.p1 = null; }
    ...
}
```

```
Tuple<String> pairOfAIs =
    new Tuple<String>("Dick", "Doof");

Tuple<Exception> pairOfExceptions =
    new Tuple<Exception>(pairOfAIs);
```

cast should fail

- cast should fail and trigger assignment of null
 - instead Strings are stored in tuple of Exceptions

NO!

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (32)

avoid raw types

- alternative implementation of generic constructor
 - avoiding raw types is safer

```
public class Tuple<Elem> {
    private Elem p1, p2;

    public <E extends Elem> Tuple(Tuple<Elem> other) {
        this.p1 = other.p1;
        this.p2 = other.p2;
    }
}
```

```
Tuple<String> pairOfAIs =
    new Tuple<String>("Dick", "Doof");
```

```
Tuple<Exception> pairOfExceptions =
    new Tuple<Exception>(pairOfAIs);
```

typesafe;
no cast needed

will not compile

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (33)

type variables & subclassing

- type variables cannot be subclassed from

```
class Outer<TypeVariable> {
    private class Inner extends TypeVariable {
    }
    ...
}
```

error: unexpected type
found: TypeVariable
required: class

- cannot build generic adapters
 - Type ⇔ Adapted<Type>

NO!

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (34)

conclusion

- type variables are not types
 - can only be used as argument and return type of methods or for reference variables
 - are mapped to Object (or their leftmost bound)
- tremendous restrictions on variables of "unknown" type
 - stored and treated as Object references
 - no type information available
- surprising whenever two type variables are involved
 - like type parameters of a generic class and its generic method, or
 - type parameters of an outer and an inner class

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (35)

agenda - generics

- overview
 - language changes: parameterized types and methods
 - library changes: parameterized collections & extended reflection
 - related language changes: covariant return types
 - type variables
 - translation to bytecode

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (36)

translation to bytecode

- generics are translated to bytecode
 - unlike C++ templates, which are instantiated, i.e. further C++ classes and functions are generated, which are eventually translated to executable code
- process of translation of generics
 - erase all type parameters
 - map type variables to their bounds
 - insert casts as needed

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (37)

translation of expressions

- casts are inserted where necessary
 - access to field whose type is a type parameter
 - invocation of method whose return type is a type parameter

field access example:

- erasure of `c.value` is `Object`
- `f()` returns `String`
- return statement translated to

`return (String) c.value;`

```
class Cell<A> {  
    A value;  
}  
...  
String f(Cell<String> c) {  
    return c.value;  
}
```

← → `return c.value;`

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (38)

translation of methods

- method $T\ m(T_1, \dots, T_n)$ throws E_1, \dots, E_m is translated to
 - a method with the same name
 - whose return type, argument types, and thrown types are the erasures of the corresponding types in the original method
- compile-time error
 - if different methods with identical names but different types are mapped to methods with the same type erasure

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (39)

example - illegal methods

```
class C<A> {  
    A id(A x) {...}  
}  
class D extends C<String> {  
    Object id(Object x) {...}  
}
```

error: same erasure

- class D has two methods with the same name and different signatures, but the same erasure:

`Object id(Object)`

– member of D

`String id(String)`

– inherited from C<String>

– erasure: `Object id(Object)`

NO!

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (40)

bridge methods

- a bridge method is generated
 - if a method *m* of a class or interface *C* is inherited in a subclass *D*

```
class C<A> {  
  abstract A id(A x);  
}  
class D extends C<String> {  
  String id(String x) { return x; }  
}
```

is translated to:

```
class C {  
  abstract Object id(Object x);  
}  
class D extends C {  
  String id(String x) { return x; }  
  Object id(Object x) { return id((String)x); }  
}
```

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005 ,22:55

concurrency utilities (41)

example - bridge method

```
class C<A> {  
  abstract A next();  
}  
class D extends C<String> {  
  String next() { return ""; }  
}
```

is translated to:

```
class C {  
  abstract Object next();  
}  
class D extends C {  
  String next1() { return ""; }  
  Object next2() { return next1(); }  
}
```

Note, that the bridge method has the same signature as the original method.

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005 ,22:55

concurrency utilities (42)

example - covariant return types

- Same technique is used for overriding methods with covariant return types.

```
class C          { C dup() { ... } }  
class D extends C { D dup() { ... } }
```

is translated to:

```
class C {  
    C dup();  
}  
class D extends C {  
    D dup1() { ... }  
    C dup2() { return dup1(); }  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005 ,22:55

concurrency utilities (43)

agenda

- generics
- concurrency utilities
- enum types
- autoboxing

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005 ,22:55

concurrency utilities (44)

problem

Java threading primitives like

- synchronized blocks, and
- `Object.wait()`, `Object.notify()`

are

- too low-level for some application, and
- their overall functionality is too small for others.

scope

- standardize medium-level concurrency constructs
 - simplify application programming
 - avoid reinvention (and incompatibilities)
 - improve implementation quality and efficiency
- add minimal low-level support
 - overcome existing small design problems
 - avoid gratuitous incompatibilities with POSIX pthreads and RTSJ
 - Include only constructs ‘easy’ to add to common JVMs

concurrency utilities - overview

- locks
- condition variables
- queues
- synchronizers
- executors
- atomic variables
- timing
- concurrent collections
- uncaught exception handlers

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005 ,22:55

concurrency utilities (47)

agenda

- **locks and semaphores**
- conditions
- queues
- synchronizers
- executors

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005 ,22:55

concurrency utilities (48)

interface Lock

- package `java.util.concurrent` provides a `Lock` interface:

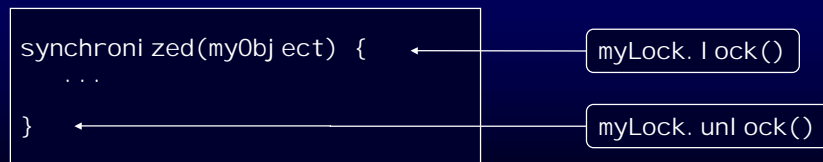
```
public interface Lock {  
  
    // lock acquisition  
    void lock();  
    void lockInterruptibly() throws InterruptedException;  
    boolean tryLock();  
    boolean tryLock(long timeout, TimeUnit granularity)  
        throws InterruptedException;  
  
    // lock release  
    public void unlock()  
  
};
```

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (49)

class ReentrantLock

- `ReentrantLock` is a class that implements `Lock`
- provides behavior similar to a mutex associated with an object
 - mutex is acquired and released implicitly
 - › when passing in and out of a synchronized block
 - lock is locked and unlocked explicitly



© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (50)

class ReentrantLock (cont.)

- upside:
 - ReentrantLock overcomes the limitation of synchronized blocks:
 - acquiring and releasing of locks not bound to block boundaries
 - e.g. hand-over-hand locking possible
 - waiting thread can be interrupted
 - waiting thread can timeout
- downside:
 - release of a ReentrantLock not enforced
 - use finally
 - to make sure that unlock() is also called in case of an exception

using a lock - example

```
Lock l = new ReentrantLock();
l.lock();
try {
    // access the resource protected by this lock
} catch ( ... ) {
    // ensure consistency before releasing lock
} finally {
    l.unlock();
}
```

semaphore

conceptually, a semaphore maintains a set of permits

- `acquire()` blocks if necessary until a permit is available, and then takes it
- `release()` adds a permit, potentially releasing a blocking acquirer

the following classes are provided with JSR-166:

- `Semaphore`
 - no guarantees about the order in which threads acquire permits
- `FifoSemaphore`
 - FIFO order in which threads acquire permits

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (53)

semaphore (cont.)

semaphores are used to restrict the number of threads that can access some resource

Binary Semaphore

- a semaphore that has at most one permit available
- it can serve as a mutual exclusive lock
 - similar to an instance of `ReentrantLock`
 - but with different lock policy:
 - not reentrant
 - the lock can be released by other threads if they have access to the semaphore,
 - i.e. semaphores have no ownership

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (54)

read-write locks

JSR-166 provides the following interface:

```
public interface ReadWriteLock {  
    Lock readLock();  
    Lock writeLock();  
};
```

classes implementing the interface vary in lock policy:

- preference: reader, writer, fifo, ...
- lock upgrading and downgrading
- ownership of lock (e.g. writer owns, readers not)
- ...

read-write locks (cont.)

- at the moment, details about supported policies and their combinations are still open
- read-write locks can significantly improve the performance of abstractions that are mostly read and rarely mutated

agenda

- locks
- **conditions**
- queues
- synchronizers
- executors

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (57)

interface Condition

- java.util.concurrent provides a Condition interface:

```
public interface Condition {  
    // waiting  
    void awaitUninterruptibly();  
    void await() throws InterruptedException;  
    void awaitNanos(long t) throws InterruptedException;  
    void awaitUntil(Date d) throws InterruptedException;  
    // notifying  
    void signal();  
    void signalAll();  
}
```

- offers more flexibility than the Java built-in condition that is associated with each object

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (58)

interface Condition (cont.)

- advantage of Conditions over Java built-in conditions associated with each object:
 - flexible wait policy
 - more than one condition associated with one lock
 - allows more expressive implementations
 - i.e. closer to the logical solution
 - solves "nested monitor problem" in a convenient way
 - allows a programming style closer to POSIX pthreads

how to obtain a condition

- Lock interface provides a method:
`Condition newCondition()`
 - creates condition bound to respective lock instance
- locks have a utility class `Locks`
 - contains static helper methods
 - (similar to `Collections`, `Arrays`, etc.)
- one of the helpers is:
`Condition newConditionFor(Object o)`
 - creates condition bound to built-in mutex associated with object `o`

nested monitor problem

- built-in conditions are tied to objects
 - every object has a mutex and a condition that uses the mutex
 - › mutex is implicitly used when methods/blocks are declared synchronized
 - › condition is implicitly used when `wait()` / `notify()` are invoked
- intuitive approach for several logical conditions:
 - use a built-in condition for each logical condition
 - leads to "nested monitor problem"

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (61)

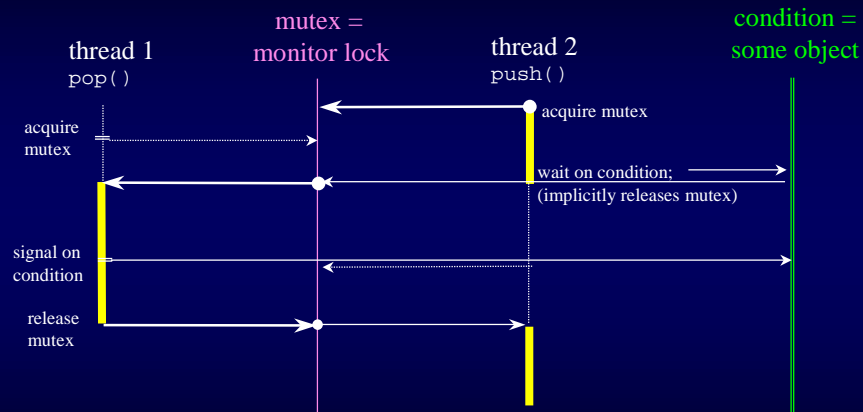
several logical conditions - example

```
public class blocking_int_stack {
    ...
    public synchronized void push(int element) {
        while (cnt == array.length) {
            try { wait(); }
            catch (InterruptedException e) { ... }
        }
        array[cnt++] = element;
        notifyAll();
    }
    public synchronized int pop() {
        while (cnt == 0) {
            try { wait(); }
            catch (InterruptedException e) { ... }
        }
        notifyAll();
        return (array[--cnt]);
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (62)

mechanics of wait / notify



© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (63)

using several built-in conditions - example

```
public class blocking_int_stack {
    private Object fullCon = new int[1];
    private Object emptyCon = new int[1];
    ...
    public void push(int element) {
        synchronized(fullCon) {
            synchronized(emptyCon) {
                while (cnt == size) {
                    try { fullCon.wait(); }
                    catch (InterruptedException e) { ... }
                }
                array[cnt++] = element;
                emptyCon.notify();
            }
        }
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (64)

several built-in conditions - example (cont.)

```

public class blocking_int_stack {
    private Object fullCon = new int[1];
    private Object emptyCon = new int[1];
    ...

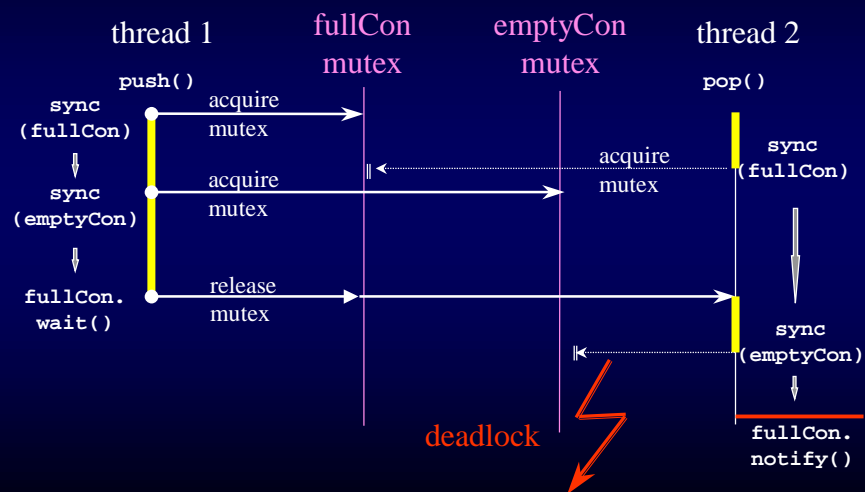
    public int pop() {
        synchronized(fullCon) {
            synchronized(emptyCon) {
                while (cnt == 0) {
                    try { emptyCon.wait(); }
                    catch (InterruptedException e) { ... }
                }
                int tmp = array[--cnt];
                fullCon.notify();
                return (tmp);
            }
        }
    }
}

```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
 last update: 11/7/2005, 22:55

concurrency utilities (65)

nested monitor problem



© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
 last update: 11/7/2005, 22:55

concurrency utilities (66)

crux

- problem occurs due to acquisition of two locks
 - built-in monitor locks and conditions are not independent
 - both associated with same object
 - eliminate problem:
 - associate one mutex with both conditions
 - possible in general, but not with built-in conditions
 - use explicit conditions from `java.util.concurrent` package

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (67)

avoid "nested monitor" with Conditions

- use two conditions associated with the one mutex of this
 - instead of two object-specific conditions associated with two object-specific mutexes

```
public class blocking_int_stack {
    private Condition fullCon = Locks.newConditionFor(this);
    private Condition emptyCon = Locks.newConditionFor(this);
    ...
    public synchronized void push(int element) {
        while (cnt == size) {
            try { fullCon.await(); }
            catch (InterruptedException e) { ... }
        }
        array[cnt++] = element;
        emptyCon.signal();
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (68)

avoid "nested monitor" with Conditions (cont.)

```
public class blocking_int_stack {
    private Condition fullCon = Locks.newConditionFor(this);
    private Condition emptyCon = Locks.newConditionFor(this);
    ...
    public synchronized int pop() {
        while (cnt == 0) {
            try { emptyCon.await(); }
            catch (InterruptedException e) { ... }
        }
        int tmp = array[--cnt];
        fullCon.signal();
        return (tmp);
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (69)

agenda

- locks
- conditions
- **queues**
- synchronizers
- executors

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (70)

blocking queue interface

JSR-166 provides a queue interface:

```
public interface Queue<E> extends Collection<E> {
    // insertion
    boolean add(E e);
    boolean offer(E x);
    void put(E x);
    ...
    // removal
    E remove();
    E poll();
    E take();
    ...
}
```

- added to package `java.util.concurrent`
 - i.e. queues for inter-thread communication

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (71)

blocking queue implementations

- various *blocking* queues:
 - `ArrayBlockingQueue`, bound, based on a fixed-size array
 - `LinkedBlockingQueue`, unbound, based on a linked list
 - `PriorityBlockingQueue`, unbound, arranges its elements like `PriorityQueue` from `java.util`
- `SynchronousQueue`, each put must wait for a take and vice versa
- `DelayQueue`, unbound, elements cannot be taken until delay time (specified in put) has been elapsed
- `LinkedQueue`, unbound, thread-safe but non-blocking

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (72)

using blocking queues - example

```
class Setup {
    void main() {
        BlockingQueue q = new SomeQueueImplementation();
        Producer p = new Producer(q);
        Consumer c = new Consumer(q);
        new Thread(p).start();
        new Thread(c).start();
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (73)

using blocking queues - example

```
class Producer implements Runnable {
    private final BlockingQueue queue;

    Producer(BlockingQueue q) { queue = q; }

    public void run() {
        try {
            while(true) { queue.put(produce()); }
        }
        catch (InterruptedException ex) {}
    }

    Object produce() { ... }
}
```

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (74)

using blocking queues - example

```
class Consumer implements Runnable {
    private final BlockingQueue queue;

    Consumer(BlockingQueue q) { queue = q; }

    public void run() {
        try {
            while(true) { consume(queue.take()); }
        }
        catch (InterruptedException ex) {}
    }

    void consume(Object x) { ... }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (75)

agenda

- locks
- conditions
- queues
- **synchronizers**
- executors

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (76)

synchronizer: Exchanger

class `Exchanger` provides a synchronization point at which two threads can exchange information:

```
public class Exchanger<E> {  
  
    public Object exchange(E x)  
        throws InterruptedException;  
  
    public Object exchange(E x, long t, TimeUnit u)  
        throws InterruptedException;  
  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (77)

using exchangers - example

- use an `Exchanger` to swap buffers between threads
 - thread filling the buffer gets a freshly emptied one when it needs it,
 - handing off the filled one to the thread emptying the buffer

```
class FillAndEmpty {  
    Exchanger<Buffer> exchanger = new Exchanger();  
    Buffer initialEmptyBuffer = ... a made-up type ...;  
    Buffer initialFullBuffer = ...;  
  
    void start() {  
        new Thread(new FillingLoop()).start();  
        new Thread(new EmptyingLoop()).start();  
    }  
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (78)

using exchangers - example

```
class FillAndEmpty {
    Exchanger<Buffer> exchanger = new Exchanger();

    class FillingLoop implements Runnable {
        public void run() {
            Buffer currentBuffer = initialEmptyBuffer;
            try {
                while (currentBuffer != null) {
                    addToBuffer(currentBuffer);
                    if (currentBuffer.isFull())
                        currentBuffer = exchanger.exchange(currentBuffer);
                }
            } catch (InterruptedException ex) { }
        }
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (79)

using exchangers - example

```
class FillAndEmpty {
    Exchanger<Buffer> exchanger = new Exchanger();

    class EmptyingLoop implements Runnable {
        public void run() {
            Buffer currentBuffer = initialFullBuffer;
            try {
                while (currentBuffer != null) {
                    takeFromBuffer(currentBuffer);
                    if (currentBuffer.isEmpty())
                        currentBuffer = exchanger.exchange(currentBuffer);
                }
            } catch (InterruptedException ex) { }
        }
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (80)

agenda

- locks
- conditions
- queues
- synchronizers
- **executors**

executor interface

JSR-166 provides an executor interface:

```
public interface Executor {  
    void execute(Runnable r);  
    Future execute(Callable c, Object arg);  
}
```

- an executor is a framework for executing **Runnable**s
 - manages queuing and scheduling of tasks
 - creation and teardown of threads
 - execute in a newly created or an existing thread
 - execute sequentially or concurrently

Callable interface

executors use callables:

```
public interface Callable {  
    Object call (Object arg) throws Exception;  
}
```

- Callable is similar to Runnable
 - both are designed for classes whose instances are executed by a thread
 - a Runnable does not return a result and cannot throw a checked exception, but a Callable can

Future interface

execution returns a Future:

```
public interface Future {  
    boolean isDone();  
    Object get() throws InterruptedException,  
        ExecutionException;  
    Object get(long t, TimeUnit u) throws  
        InterruptedException, ExecutionException;  
}
```

- represents the result of an asynchronous computation
 - check if the computation is complete
 - retrieve the result of the computation

agenda

- generics
- concurrency utilities
- enum types
- autoboxing

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (85)

enum type

```
public enum Season { winter, spring, summer, fall }
```

- design rationale:
 - compile-time type safety
 - performance comparable to `int` constants
 - type system provides a namespace for each enum type
 - › you don't have to prefix each constant name
 - typesafe constants aren't compiled into clients
 - › you can add, reorder or remove constants without recompiling clients
 - printed values are informative
 - enum constants can be used in collections, e.g. as `HashMap` keys
 - you can add arbitrary fields and methods to an enum class
 - an enum type can be made to implement arbitrary interfaces.

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (86)

superclass Enum

- all enum types are derived from a predefined superclass

```
public abstract class Enum<T extends Enum<T>>
    implements Comparable<T>, Serializable {
    public final transient int ordinal;
    public final String name;
    protected Enum(String name, int ordinal);

    public abstract List<T> family();
    public final boolean equals(Object o);
    public final int hashCode();
    public String toString();
    public final int compareTo(T o);
    protected final Object clone()
        throws CloneNotSupportedException;
    protected final Object readResolve()
        throws ObjectStreamException;
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (87)

synthetic fields

- each enum class has some automatically generated fields:

- an immutable list containing the enum class's values

```
public static List<this enum class> VALUES;
public final List<this enum class> family();
```

- a static factory returning the enum constant to an enum identifier

```
public static <this enum class> valueOf(String name);
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (88)

additional fields and methods & use in switch

```
public enum Coin {
    penny(1), nickel(5), dime(10), quarter(25);

    private final int value;
    public Coin(int value) { this.value = value; }
    public int value() { return value; }
}
```

```
private enum CoinColor { copper, nickel, silver }
```

```
CoinColor color(Coin c) {
    if (c == null) throw new NullPointerException();
    switch(c) {
        case Coin.penny:    return CoinColor.copper;
        case Coin.nickel:   return CoinColor.nickel;
        case Coin.dime:     return CoinColor.silver;
        case Coin.quarter:  return CoinColor.silver;
    }
    throw new AssertionError("Unknown coin: " + c);
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (89)

methods per enum value

```
public abstract enum Operation {
    plus {double eval(double x, double y) { return x + y; }},
    minus {double eval(double x, double y) { return x - y; }},
    times {double eval(double x, double y) { return x * y; }},
    div {double eval(double x, double y) { return x / y; }};

    // Perform arithmetic operation represented by this constant
    abstract double eval(double x, double y);
}
```

```
void f(double x, double y) {
    for (Iterator<Operation> i = VALUES.iterator();
         i.hasNext(); ) {
        Operation op = i.next();
        System.out.println(x+" "+op+" "+y+" = "+op.eval(x, y));
    }
}
```

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (90)

agenda

- generics
- concurrency utilities
- enum types
- **autoboxing**

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (91)

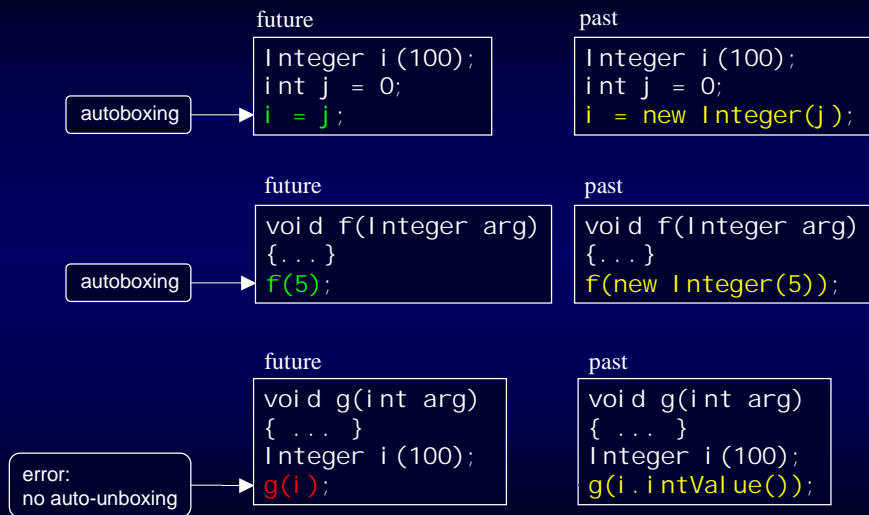
autoboxing

- frequent need to explicitly convert data of primitive type to reference type
 - e.g. adding primitive data to collections
 - explicit conversions are verbose and clutter the code
- add autoboxing to the language
 - allow automatic conversion of data of primitive type to the corresponding wrapper type
- introduce a new conversion (*boxing conversion*)
 - used as part of assignment and method invocation
- no auto-unboxing proposed
 - automatic conversion from wrapper type to primitive type not supported

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (92)

autoboxing - example



© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (93)

references - generics

JCP: JSR 014 - Adding Generic Types to Java

<http://www.jcp.org/en/jsr/detail?id=14>

Draft Specification (April 27, 2001)

<http://java.sun.com/aboutJava/communityprocess/rev14/jsr014/>

Prototype compiler for Generics

http://developer.java.sun.com/developer/earlyAccess/adding_generics/

JCP: JSR 201 - Extending Java with Enumerations,
Autoboxing, Enhanced for loops and Static Import

<http://www.jcp.org/en/jsr/detail?id=201>

© Copyright 2003 by Angelika Langer & Klaus Krefl. All Rights Reserved.
http://www.AngelikaLanger.com
last update: 11/7/2005, 22:55

concurrency utilities (94)

references - concurrency utilities

JCP: JSR 166 - Concurrency Utilities

<http://www.jcp.org/en/jsr/detail?id=166>

Concurrency JSR-166 Interest Site

<http://gee.cs.oswego.edu/dl/concurrency-interest/index.html>

Overview of package util.concurrent Release 1.3.2.

<http://gee.cs.oswego.edu/dl/classes/EDU/oswego/cs/dl/util/concurrent/intro.html>

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (95)

authors

Angelika Langer

Training & Mentoring

Object-Oriented Software Development in C++ & Java

Munich, Germany

http: [//www.AngelikaLanger.com](http://www.AngelikaLanger.com)

Klaus Kreft

Siemens Business Services, Munich, Germany

Email: klaus.kreft@siemens.com

© Copyright 2003 by Angelika Langer & Klaus Kreft. All Rights Reserved.
<http://www.AngelikaLanger.com>
last update: 11/7/2005, 22:55

concurrency utilities (96)